

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**TCM215**

In Re Application Of: **ZHANG ET AL**

Application No.  
**10/796,930**

Filing Date  
**03/10/2004**

Examiner

Customer No.  
**32047**

Group Art Unit  
**2872**

Confirmation No.  
**3259**

Invention:

**METHODS AND APPARATUS FOR POLARIZATION CONTROL**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

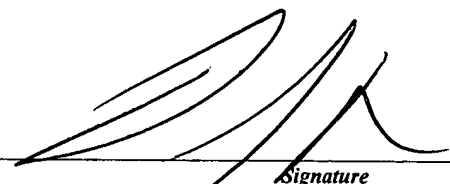
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

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Dated: **September 22, 2005**

**Certificate of Mailing by First Class Mail**

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**September 22, 2005**

(Date)

  
Signature of Person Mailing Correspondence

**Jennifer L. Hobbs**

Typed or Printed Name of Person Mailing Correspondence

cc:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>TCM215</b>		Application Number <b>10/796,930</b>	
				Applicant(s) <b>Zhang et al</b>			
				Filing Date <b>03/10/2004</b>		Group Art Unit <b>2872</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		<b>US2003/0081874</b>	<b>05/01/2003</b>	<b>Yao</b>	<b>385</b>	<b>11</b>	<b>12/10/2002</b>

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		<b>0310174</b>	<b>04/05/1989</b>	<b>EPO</b>	<b>H04B</b>	<b>9</b>		

OTHER DOCUMENTS			<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		<b>Takanori Okoshi; "Polarization-State Control Schemes for Heterodyne or Homodyne Optical Fiber Communications"; December 1985; Journal of Lightwave Technology, Vol. LT-3, No. 6; pp. 1232-1237.</b>	
		<b>European Search Report mailed June 22, 2005 in connection with corresponding European Appln. No. 05251430.4</b>	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.